ANAIGNI STATE ETHICS COMMISSION



ETHICS DISCLOSURE STATEMENT CONFLICTS OF INTEREST – DECISIONS AND VOTING State Form 55860 (R / 10-16) OFFICE OF THE INSPECTOR GENERAL IC 4-2-6-9

JAN 1 0 2020

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|--|--|----------------------------------|-------------------------------|-------------------|--|
| In accordance with IC 4-2-6-9, you must file days after the conduct that gives rise to the agency appointing authority and ethics office General's website. | conflict. You must also include | de a copy d | of the notification provi | ded to your | |
| Name (last) Bower | Name <i>(first)</i> Terry | | Name <i>(middle)</i> Wayne | | |
| Name of office or agency Department of Insurance | | Job title Supervising Consultant | | | |
| Address of office (number and street) 300 W. Washington Street, Suite 300 | | 1 '3 | | ZIP code 46204 | |
| Office telephone number (317) 234-5884 | Office e-mall address (required) tbower@idoi.in.gov | | | | |
| Describe the conflict of interest: | | | | | |
| I have applied for a Compliance Specialist position with CareSource, a company regulated by the Indiana Department of Insurance (IDOI). | | | | | |
| As the Supervising Consultant in the Consumer Services Division of IDOI, I feel that an ethical screen is necessary to avoid the | | | | | |
| appearance of Impropriety. Our division investigates complaints filed by Indiana consumers against insurance companies licensed | | | | | |
| to do business in Indiana. As Supervising Consultant, I supervise five consultants and one administrative assistant in our division. | | | | | |
| My responsibilities include assigning all new complaints to the consultants, reviewing all files once the consultants complete their | | | | | |
| investigations and determining what action, if any, needs to be taken. I am also involved in meetings with some | | | | | |
| of these insurance companies that may be scheduled throughout the year. | | | | | |
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| Describe the screen established by your ethics officer: (Attach additional pages as needed.) | | | | |
|--|--|--|--|--|
| The Department, through our Ethics Officer, has set-up a screen to completely keep myself from any contact with | | | | |
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| CareSource(other than employment discussions). My supervisor now handles any files or other matters pertaining to | | | | |
| CareSource, | | | | |
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| AFFIRMATION | | | | |
| Your signature below affirms that your disclosures on this form are true, complete, and correct to the best of your | | | | |
| knowledge and belief. In addition to this form, you have attached a copy of your written disclosure to your agency appointing authority and ethics officer. | | | | |
| Signature of state officer, employee or special state appointee Date signed (month, day, year) | | | | |
| 1/19/20 | | | | |
| Printed full name of state officer, employee or special state appointee | | | | |
| Terry Bower | | | | |
| FOR ETHICS OFFICER USE ONLY | | | | |
| Your signature below affirms that you have reviewed this disclosure form and that it is true, complete, and correct to the best of your knowledge and belief. You also attest that your agency has implemented the screen described above. | | | | |
| Signature of ethics officer Walk pac Date signed (month, day, year) 1.10.2020 | | | | |
| Printed full name of ethics officer | | | | |

Baker, Nathaniel P

From:

Szpara, Claire

Sent:

Friday, December 13, 2019 1:06 PM

To:

Robertson, Stephen W.; Richter, Lana; Parry, Dawn; Perry, Tracy; Stowers, Kimberly; Hancock, Linda; Ankney, Ronda; Peck, Alex; Wood, Dennis; Bopp, Dawn; Knable, Karl J; Groth, Jenifer; Beard, Amy (IDOI); Williams Lambert, Holly; Eft, Roy; Lohman, Barbara; Simpkins, James M (IDOI); Hastings, Victoria; Sullivan, Carol; Handsborough, Jonathan

Cc:

Szpara, Claire; Bower, Terry

Subject:

Ethical Screen

All:

I am writing as IDOI's Ethics Officer to make you aware of an ethical screen that has recently been established. Terry Bower has been in talks with a representative from Care Source regarding a compliance position. Care Source is an insurance company regulated by the IDOI. Therefore, to allow Terry to pursue this potential employment opportunity if he so chooses, he must be screened completely from any contact with Care Source. Please refrain from discussing matters involving Care Source with Terry. Going forward, any matters involving Care Source shall be directed to Ronda Ankney.

This ethical screen is required to be established by IC 4-2-6-9 and will remain in place until I notify you otherwise. If you have any questions, please contact me.



Claire Szpara, APIR

Attorney, Ethics Officer Indiana Department of Insurance

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www.in.gov/idoi



